



AL RAFIDAIN JEWELLERY TRADING LLC

KYC FORM - LEGAL ENTITY

<i>Company Name</i>	
<i>Corporate Registration No:</i>	
<i>Type:</i>	☐ <i>Customer</i> ☐ <i>Supplier</i> ☐ <i>Both</i>

CUSTOMER INFORMATION	
UID No (For Office Use Only)	
Full Legal Name As per license	
Trade Name	
No of Subsidiaries, Branches (if any specify countries)	
Address	
Contact Number	
Email Address	
Certificate of Incorporation Number	
Trade License Number	
Issue Date	
Expiry Date	
License Issuing Authority	
Precious Metal Trading Permit Number	
Issue Date	
Expiry Date	
Import/Export Reg. Number	
Legal Form	☐ Partnership ☐ Sole Establishment ☐ LLC ☐ Public Limited Co ☐ Other, Please Specify_____
Years in Business	
Business Activity	
Countries of Operation	
Main Products	
Corporate Tax Number	

SHAREHOLDER'S/UBO DETAILS

Percentage Holding (%)	Name	Address	Nationality	Passport/Emirates ID Number

AUTHORIZED SIGNATORIES/ REPRESENTATIVES

Job Title	Name	Address	Nationality	Passport/Emirates ID Number

BANK DETAILS

(Note: We do not accept or make payments to third parties)

Bank Name		Country	
Town/City		BIC/SWIFT	
Account Name			
Account Number			
IBAN			
Currency of Account			

SOURCE OF FUNDS AND WEALTH

Source of wealth	☐ Business Proceeds ☐ Funds from shareholders ☐ Employment ☐ Inheritance ☐ Others (please specify)
Source of funds	☐ Business Proceeds ☐ Funds from shareholders ☐ Employment ☐ Inheritance ☐ Others (please specify)

PEP DECLARATION

PEP DECLARATION		YES	NO
1	Are there any PEPs involved in the ownership or management of this entity?		
Person's Name			
Person's Position			
2	Are any of the shareholder / beneficial owner / key managerial person related to a PEP?		

AML - KYC QUESTIONNAIRE

a.	Is your company subject to Anti-Money Laundering/Combating the Financing of Terrorism Law?	☐ Yes ☐ No
b.	Name of the Regulator	
c.	Name of the AML-CFT Law/ Regulation?	
d.	Does your company have written policies and procedures to combat Money Laundering and Terrorist Financing?	☐ Yes – Please provide a copy ☐ No
e.	Does the company perform screening (i.e. Adverse media, Money laundering, Sanctions, Politically Exposed Person 'PEP') on its counterparties?	☐ Yes ☐ No
f.	Does your organization have procedures to establish a record for each new customer noting their respective identification documents and KYC Information?	☐ Yes ☐ No

g.	Does your Company have a procedure in place to prevent, detect and report suspicious transactions from its suppliers to the relevant Authority?	☐ Yes ☐ No
w.	Does your company or the Senior Management/owners/UBO ever been charged anywhere in the world for violation of applicable Anti-bribery Laws or AML/CFT Laws and Regulations?	☐ Yes – Please provide a copy ☐ No

EXPECTED BUSINESS ACTIVITIES	
Expected value of transactions	Annually _____
Expected volume of transactions (Number)	Monthly _____
Frequency of the transaction	☐ Daily ☐ Weekly ☐ Monthly
Mode of Payment (with Percentage):	☐ Bank Transfer ____% ☐ Cheque ____% ☐ Cash ____%

Declarations

Source of Fund Declaration

I/We understand that, in order to register with **Al Rafidain Jewellery Trading LLC**, I/we am/are required to declare the source of funds and/or precious metals used for this application.

I/We acknowledge and am/are aware of the legal requirements under **Federal Decree-Law No. (10) of 2025 on Anti-Money Laundering** and **Cabinet Decision No. (134) of 2025**, as amended from time to time.

I/We hereby confirm that all funds and/or precious metals held by me/us are derived from lawful and legitimate sources, and I/we undertake to provide supporting documentation or evidence upon request. I/We further confirm that such funds and/or precious metals do not originate from, nor are they connected to, any sanctioned country, entity, or individual listed by the United Nations or any other relevant international or local authority.

I/We affirm that I/we fully comply with all applicable local and international laws and regulations, including those governing the trade of precious metals and United Nations Security Council (UNSC) sanctions. I/We further confirm that the precious metals held by me/us are not associated with conflict financing, criminal activities, child labor, or any form of human rights violations.

I/We declare that all information provided in connection with this declaration is true, complete, and accurate to the best of my/our knowledge. I/We undertake to promptly notify **Al Rafidain Jewellery Trading LLC** in writing of any changes to the information provided herein.

Declaration of Politically Exposed Person (PEP) Status

We confirm that, to the best of our knowledge, we (owners/partners/shareholders) are not Politically Exposed Persons (PEPs) as defined by the applicable laws and regulations. We have not held any prominent public positions, such as government officials, heads of state, or senior political party members, in our home country or any other jurisdiction.

We also confirm that none of our immediate family members or close associates are considered PEPs.

We understand the importance of integrity and transparency in financial transactions and assure that all funds and activities with **Al Rafidain Jewellery Trading LLC** will comply with all relevant laws and regulations.

If our PEP status changes in the future, we will promptly inform **Al Rafidain Jewellery Trading LLC** to meet any new compliance requirements.

OECD Declaration

We confirm our compliance with the OECD Due Diligence Guidelines for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. We are committed to conducting our business ethically and responsibly, following the principles and standards set by the OECD.

We have established a strong supply chain policy that includes due diligence measures, risk assessment procedures, and ongoing monitoring to prevent any dealings with conflict-affected or high-risk areas.

We also confirm our full compliance with international and domestic laws, including those on the illicit trade in minerals and United Nations sanctions. Our supply chain practices are aligned with these legal frameworks.

Sanction Declaration

We confirm that neither our company nor any of our related parties, subsidiaries, or branches are involved in dealings with countries sanctioned by the Financial Action Task Force (FATF) or the Office of Foreign Assets Control (OFAC). We do not own, control, or act as agents for any government or entity listed on a sanctions list or based in a sanctioned country.

We also confirm that neither we nor any key individuals acting on our behalf have any affiliations with nationals or residents of sanctioned countries. Our business has not previously dealt with, and will not engage with, any sanctioned countries or entities. We are committed to complying with all economic and financial sanctions and trade embargoes imposed by the United Arab Emirates (UAE), United Nations (UN), United States (US), European Union (EU), United Kingdom (UK), and other relevant authorities. All our financial transactions follow applicable laws and regulations, and we are dedicated to maintaining the highest ethical standards in our business operations.

We hereby declare the above information to be true and accurate to the best of our knowledge.

Authorized Person Name	
Designation	
Signature	
Date	
Company Stamp	

For Office Use Only

Compliance Department Remarks

Approved : ☐ Yes ☐ No

Compliance Officer Name:

Signature:

SENIOR MANAGEMENT APPROVAL STATUS: ☐ Yes ☐ No

Name:

Signature:

Designation:

Stamp:

Date: